JAN 2 5 2002

# Form PTO-1449

List of Patents and Publications For Applicant's Information Disclosure Statement (Use several sheets if necessary) ATTY. DOCKET NO: 5040-04203

EXAIMINER: Unknown

APPLICANT: Buazza et al.

SERIAL NO: 09/780,076

FILING DATE: March 19, 1999

GROUP: 1711 1722

#### U.S. PATENT DOCUMENTS

|                   |             |                    | U.S. PATENT | DOCUMENTS           |       |              |                               |
|-------------------|-------------|--------------------|-------------|---------------------|-------|--------------|-------------------------------|
| EXAM.<br>INITIALS | REF.<br>DES | DOCUMENT<br>NUMBER | DATE        | NAME                | CLASS | SUB<br>CLASS | FILING DATE IF<br>APPROPRIATE |
| D.H.              |             | 2,524,386          | 2/20/1951   | Beattie             |       |              | -                             |
|                   |             | 2,524,862          | 10/10/1950  | White               |       |              |                               |
|                   |             | 2,525,664          | 10/10/1950  | Gadsby et al.       |       |              |                               |
|                   |             | 3,240,854          | 2/10/1979   | Ewers               |       |              |                               |
|                   |             | 3,485,765          | 12/23/1969  | Newland             |       |              |                               |
|                   |             | 3,494,326          | 2/10/1970   | Upton               |       |              |                               |
| 100               |             | <b>3,5</b> 55,611  | 1/19/1971   | Reiterman           |       |              |                               |
|                   |             | 3,871,803          | 3/18/1975   | Beattie             |       |              |                               |
|                   |             | 3,968,306          | 7/1976      | Yoshihara et al     |       |              |                               |
|                   |             | 4,077,858          | 3/7/1978    | Costanza et al      |       |              |                               |
|                   |             | 4,113,224          | 9/12/1978   | Clark et al.        | -     |              |                               |
|                   |             | 4,118,853          | 10/10/78    | Mignen              |       |              |                               |
|                   |             | 4,132,518          | 1/2/79      | Rips                |       |              |                               |
|                   |             | 4,138,538          | 2/6/79      | Kaetsu et al.       |       |              |                               |
|                   |             | 4,166,088          | 8/28/79     | Neefe               |       |              |                               |
|                   |             | 4,246,207          | 1/20/81     | Spycher             |       |              |                               |
|                   |             | 4,252,753          | 2/24/81     | Rips                |       |              |                               |
|                   |             | 4,273,809          | 6/16/81     | LaLiberte et al.    |       |              |                               |
|                   |             | 4,284,399          | 8/18/81     | Newcomb et al.      |       | *            |                               |
|                   |             | 4,287,337          | 9/1/81      | Guglielmetti et al. |       |              |                               |
|                   |             | 4,297,616          | 10/27/1981  | Corona              |       | -47          |                               |
|                   |             | 4,298,005          | 11/3/81     | Mutzhas             |       | <del></del>  |                               |
|                   |             | 4,308,400          | 12/29/81    | Felder et al.       |       |              |                               |
| DM.               |             | 4,329,622          | 5/11/1982   | Corona et al.       |       |              |                               |

**EXAMINER:** 

DATE CONSIDERED: 8-27-02

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the patent owner.

Information Disclosure Statement--PTO 1449 (modified)

JAN 2 5 2002

# Form PTO-1449

List of Patents and Publications
For Applicant's Information
Disclosure Statement
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ATTY. DOCKET NO: 5040-04203

EXAIMINER: Unknown

APPLICANT: Buazza et al.

SERIAL NO: 09/780,076

FILING DATE: March 19, 1999

GROUP: 1711

#### **U.S. PATENT DOCUMENTS**

|                   |             | <u> </u>           | .S. PAIEN  | I DOCUMENTS        |       |              |                            |
|-------------------|-------------|--------------------|------------|--------------------|-------|--------------|----------------------------|
| EXAM.<br>INITIALS | REF.<br>DES | DOCUMENT<br>NUMBER | DATE       | NAME               | CLASS | SUB<br>CLASS | FILING DATE IF APPROPRIATE |
| D.H.              |             | 4,355,135          | 10/1982    | January            |       |              |                            |
|                   |             | 4,369,298          | 1/18/83    | Kida et al.        |       |              |                            |
|                   |             | 4,390,482          | 6/28/83    | Feurer             | T     |              |                            |
|                   |             | 4,396,737          | 8/2/83     | Leatherman         |       |              |                            |
|                   |             | 4,440,699          | 4/3/1984   | Smid et al.        |       |              |                            |
|                   |             | 4,441,795          | 4/10/1984  | Lobdell            |       |              |                            |
|                   |             | 4,511,209          | 11/1987    | Skutnik et al.     |       |              |                            |
|                   |             | 4,512,340          | 4/23/1985  | Buck               |       |              |                            |
|                   |             | 4,534,915          | 8/13/1985  | Neefe              |       |              |                            |
|                   |             | 4,542,201          | 9/17/1985  | Kanemura et al.    |       |              |                            |
|                   |             | 4,561,951          | 12/31/1985 | Neckers            |       |              |                            |
|                   |             | 4,576,766          | 3/18/1986  | Baskerville et al. |       |              |                            |
|                   |             | 4,586,996          | 5/6/1986   | Shinohara et al.   |       |              |                            |
|                   |             | 4,594,288          | 6/10/1986  | Dobigny et al.     |       |              |                            |
|                   |             | 4,613,656          | 9/23/1986  | Tang               |       |              |                            |
|                   |             | 4,622,376          | 11/11/1986 | Misura et al.      |       |              |                            |
|                   |             | 4,623,497          | 11/18/1986 | Waters             | -     |              |                            |
|                   |             | 4,666,952          | 5/19/1987  | Henne et al.       |       | 7            |                            |
|                   |             | 4,640,489          | 2/3/1987   | Larsen             |       |              |                            |
| \                 |             | 4,693,446          | 9/15/1987  | Orlosky            |       | - 440        |                            |
|                   |             | 4,707,076          | 11/1987    | Skutnik et al      |       |              | 0 -                        |
|                   |             | 4,720,356          | 1/19/1988  | Chu                |       |              |                            |
| D.H.              |             | 4,728,469          | 3/1/1988   | Danner et al.      |       |              |                            |
|                   |             |                    |            |                    |       |              |                            |

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ATTY. DOCKET NO: 5040-04203

APPLICANT: Buazza et al.

FILING DATE: March 19, 1999

EXAIMINER: Unknown

SERIAL NO: 09/780,076

GROUP: 1711

#### **U.S. PATENT DOCUMENTS**

|                   | U.S. FATENT DUCUMENTS |                    |            |                     |       |              |                               |  |  |  |  |  |
|-------------------|-----------------------|--------------------|------------|---------------------|-------|--------------|-------------------------------|--|--|--|--|--|
| EXAM.<br>INITIALS | REF.<br>DES           | DOCUMENT<br>NUMBER | DATE       | NAME                | CLASS | SUB<br>CLASS | FILING DATE IF<br>APPROPRIATE |  |  |  |  |  |
| D.H .             |                       | 4,731,264          | 3/15/1988  | Lin et al           |       |              |                               |  |  |  |  |  |
|                   |                       | 4,746,716          | 5/24/1988  | Oates               |       |              |                               |  |  |  |  |  |
|                   |                       | 4,784,467          | 11/1988    | Akatsuka et al      |       |              |                               |  |  |  |  |  |
|                   |                       | 4,800,122          | 1/1989     | Sallavanti et al    |       |              |                               |  |  |  |  |  |
|                   |                       | 4,842,782          | 6/1989     | Portney et al.      |       |              |                               |  |  |  |  |  |
|                   |                       | 4,851,530          | 7/25/1989  | Rickwood            |       |              |                               |  |  |  |  |  |
|                   |                       | 4,852,974          | 8/1/1989   | Melzig et al.       |       |              |                               |  |  |  |  |  |
|                   |                       | 4,879,318          | 11/7/1989  | Lipscomb et al.     |       |              |                               |  |  |  |  |  |
|                   |                       | 4,889,664          | 12/26/1989 | Kindt-Larsen et al. |       |              |                               |  |  |  |  |  |
|                   |                       | 4,895,102          | 1/23/1990  | Kachal, et al       |       |              |                               |  |  |  |  |  |
|                   |                       | 4,902,725          | 2/20/1990  | Moore               |       |              |                               |  |  |  |  |  |
|                   |                       | 4,913,544          | 4/3/1990   | Rickwood et al.     |       |              |                               |  |  |  |  |  |
|                   |                       | 4,919,850          | 4/24/1990  | Blum et al.         | _     |              |                               |  |  |  |  |  |
|                   |                       | 4,929,278          | 5/1990     | Ashley et al.       |       |              |                               |  |  |  |  |  |
|                   |                       | 4,939,423          | 7/3/1990   | Ruby                |       |              |                               |  |  |  |  |  |
|                   |                       | 4,955,804          | 9/11/1990  | Martell et al.      |       |              |                               |  |  |  |  |  |
|                   |                       | 4,959,429          | 9/25/1990  | Misura et al.       |       |              | -                             |  |  |  |  |  |
|                   |                       | 4,965,294          | 10/23/1990 | Ohngemach et al.    |       |              |                               |  |  |  |  |  |
|                   |                       | 4,966,812          | 10/1990    | Ashley et al.       |       |              |                               |  |  |  |  |  |
|                   |                       | 4,968,454          | 11/6/1990  | Crano et al.        |       |              |                               |  |  |  |  |  |
|                   |                       | 4,983,335          | 1/8/1991   | Matsuo et al.       |       | √ये≛ :       |                               |  |  |  |  |  |
|                   |                       | 4,985,186          | 1/15/1991  | Nose, et al.        |       | ž            |                               |  |  |  |  |  |
| D.H.              |                       | 4,988,274          | 1/29/1991  | Kenmochi            |       |              |                               |  |  |  |  |  |

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Disclosure Statement
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ATTY. DOCKET NO: 5040-04203

EXAIMINER: Unknown

APPLICANT: Buazza et al.

SERIAL NO: 09/780.076

FILING DATE: March 19, 1999

GROUP: 1711

### **U.S. PATENT DOCUMENTS**

|                   | U.S. PATENT DOCUMENTS |                    |            |                  |       |              |                               |  |  |  |  |  |
|-------------------|-----------------------|--------------------|------------|------------------|-------|--------------|-------------------------------|--|--|--|--|--|
| EXAM.<br>INITIALS | REF.<br>DES           | DOCUMENT<br>NUMBER | DATE       | NAME             | CLASS | SUB<br>CLASS | FILING DATE IF<br>APPROPRIATE |  |  |  |  |  |
| D.H.              |                       | 5,015,523          | 5/1991     | Kawashima et al. |       |              |                               |  |  |  |  |  |
|                   |                       | 5,028,358          | 7/2/1991   | Blum             |       |              |                               |  |  |  |  |  |
|                   |                       | 5,061,769          | 10/1991    | Aharoni          |       |              |                               |  |  |  |  |  |
|                   |                       | 5,104,692          | 4/1992     | Belmares         |       |              |                               |  |  |  |  |  |
|                   |                       | 5,105,126          | 4/1992     | Whitesel         |       |              |                               |  |  |  |  |  |
|                   |                       | 5,116,644          | 5/1992     | Asai et al.      |       |              |                               |  |  |  |  |  |
|                   |                       | 5,118,142          | 6/1992     | Bish             |       |              |                               |  |  |  |  |  |
|                   | 7 11                  | 5,135,686          | 8/4/1992   | Masuhara et al.  |       |              |                               |  |  |  |  |  |
|                   |                       | 5,147,585          | 9/1992     | Blum             |       |              |                               |  |  |  |  |  |
|                   |                       | 5,153,027          | 10/1992    | Spagnoli         |       |              |                               |  |  |  |  |  |
|                   |                       | 5,154,861          | 10/13/1992 | McBrierty et al. | 1     |              |                               |  |  |  |  |  |
|                   |                       | 5,173,368          | 12/1992    | Belmares         |       |              |                               |  |  |  |  |  |
|                   |                       | 5,178,955          | 1/1993     | Aharoni et al    |       |              |                               |  |  |  |  |  |
| in                |                       | 5,225,2 <b>4</b> 4 | 7/1993     | Aharoni et al.   |       |              |                               |  |  |  |  |  |
|                   |                       | 5,224,957          | 7/6/1993   | Gasser, et al.   |       |              |                               |  |  |  |  |  |
|                   |                       | 5,238,981          | 8/24/1993  | Knowles          |       |              |                               |  |  |  |  |  |
|                   |                       | 5,268,196          | 12/1993    | Boulos           |       |              | -                             |  |  |  |  |  |
|                   |                       | 5,274,132          | 12/28/1993 | VanGemert        |       |              |                               |  |  |  |  |  |
|                   |                       | 5,316,791          | 5/1994     | Farber et al.    |       |              |                               |  |  |  |  |  |
|                   |                       | 5,364,256          | 11/15/1995 | Lipscomb et al   |       | 190 Y.,      | 1-12                          |  |  |  |  |  |
|                   |                       | 5,369,158          | 11/29/1994 | Knowles          |       | 1            |                               |  |  |  |  |  |
|                   |                       | 5,384,077          | 1/24/1995  | Knowles          |       |              |                               |  |  |  |  |  |
| D.H.              |                       | 5,385,955          | 1/1995     | Tarshiani et al. |       |              |                               |  |  |  |  |  |

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|                   | U.S. PATENT DUCUMENTS |                    |            |                   |       |                             |                               |  |  |  |  |
|-------------------|-----------------------|--------------------|------------|-------------------|-------|-----------------------------|-------------------------------|--|--|--|--|
| EXAM.<br>INITIALS | REF.<br>DES           | DOCUMENT<br>NUMBER | DATE       | NAME              | CLASS | SUB<br>CLASS                | FILING DATE IF<br>APPROPRIATE |  |  |  |  |
| D.H.              |                       | 5,391,327          | 2/21/1995  | Ligas et al.      |       |                             |                               |  |  |  |  |
|                   |                       | 5,405,958          | 4/11/1995  | VanGemert         | İ     |                             |                               |  |  |  |  |
|                   |                       | 5,411,679          | 5/2/1995   | Kumar             |       |                             |                               |  |  |  |  |
|                   |                       | 5,412,016          | 5/1995     | Sharp             |       |                             |                               |  |  |  |  |
|                   |                       | 5,415,816          | 5/16/1995  | Buazza et al.     |       |                             |                               |  |  |  |  |
|                   |                       | 5,422,046          | 6/6/1995   | Tarshiani et al.  |       |                             |                               |  |  |  |  |
|                   | Ì                     | 5,424,611          | 6/13/1995  | Moriarty, Jr.     |       |                             |                               |  |  |  |  |
|                   |                       | 5,429,774          | 7/4/1995   | Kumar             |       |                             |                               |  |  |  |  |
|                   |                       | 5,448,136          | 9/5/1995   | Fischer           |       |                             |                               |  |  |  |  |
|                   |                       | 5,451,344          | 9/19/1995  | Knowles et al.    |       |                             |                               |  |  |  |  |
|                   | ĺ                     | 5,458,814          | 10/17/1995 | Kumar et al.      |       |                             |                               |  |  |  |  |
|                   |                       | 5,458,815          | 10/17/1995 | Knowles           |       |                             |                               |  |  |  |  |
|                   |                       | 5,464,567          | 11/7/1995  | Knowles et al.    |       |                             |                               |  |  |  |  |
|                   |                       | 5,466,398          | 11/14/1995 | Van Gemert et al. |       |                             |                               |  |  |  |  |
|                   |                       | 5,476,717          | 12/1995    | Floch             |       |                             |                               |  |  |  |  |
|                   |                       | 5,514,214          | 5/7/1996   | Joel et al.       |       |                             |                               |  |  |  |  |
|                   |                       | 5,516,468          | 5/14/1996  | Lipscomb et al.   |       |                             | _                             |  |  |  |  |
|                   |                       | 5,529,728          | 6/25/1996  | Buazza et al.     |       |                             |                               |  |  |  |  |
|                   |                       | 5,531,940          | 7/2/1996   | Gupta, et al.     |       |                             |                               |  |  |  |  |
|                   |                       | 5,580,819          | 12/1996    | Li et al          |       | $\mu_{ij} \rightarrow \tau$ |                               |  |  |  |  |
|                   |                       | 5,585,186          | 12/1996    | Scholz et al      |       |                             |                               |  |  |  |  |
|                   |                       | 5,589,396          | 12/1996    | Frye et al.       |       |                             |                               |  |  |  |  |
| D.H.              |                       | 5,619.288          | 4/8/1997   | White, Jr. et al. | -     |                             |                               |  |  |  |  |

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List of Patents and Publications
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#### U.S. PATENT DOCUMENTS

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| D.H.              |                       | 5,621,017          | 4/15/1997  | Kobayakawa, et al.   |       |              |                               |  |  |  |  |
|                   |                       | 5,622,784          | 4/1997     | Okaue et al          |       |              |                               |  |  |  |  |
|                   |                       | 5,623,184          | 4/22/1997  | Rector               |       |              |                               |  |  |  |  |
|                   |                       | 5,627,434          | 5/6/1997   | Sekiya, et al.       |       |              |                               |  |  |  |  |
|                   |                       | 5,639,517          | 6/1997     | Floch et al          |       |              |                               |  |  |  |  |
|                   |                       | 5,644,014          | 7/1997     | Schmidt et al        |       |              |                               |  |  |  |  |
|                   |                       | 5,681,871          | 10/28/1997 | Molock et al.        |       |              |                               |  |  |  |  |
|                   |                       | 5,685,098          | 11/1997    | Brytsche et al.      |       |              |                               |  |  |  |  |
|                   |                       | 5,689,324          | 11/18/1997 | Lossman et al.       |       |              |                               |  |  |  |  |
|                   |                       | 5,693,366          | 12/1997    | Mase et al.          |       |              |                               |  |  |  |  |
|                   |                       | 5,698,266          | 12/1997    | Floch et al.         |       |              |                               |  |  |  |  |
|                   |                       | 5,699,189          | 12/1997    | Murphy               |       |              |                               |  |  |  |  |
|                   |                       | 5,716,679          | 2/1998     | Krug et al.          |       |              |                               |  |  |  |  |
|                   |                       | 5,719,705          | 2/1998     | Machol               |       |              |                               |  |  |  |  |
|                   |                       | 5,744,243          | 4/1998     | Li et al.            |       |              |                               |  |  |  |  |
|                   |                       | 5,753,301          | 5/19/1998  | Brytsche et al.      |       |              |                               |  |  |  |  |
|                   |                       | 5,766,680          | 6/1998     | Schmidt et al.       |       |              |                               |  |  |  |  |
|                   |                       | 5,762,081          | 6,'9/1998  | Keene, et al.        |       |              |                               |  |  |  |  |
|                   |                       | 5,789,015          | 8/4/1998   | Gupta, et al.        |       | 14-          |                               |  |  |  |  |
|                   |                       | 5,836,323          | 11/17/1998 | Keene, et al         |       |              | 7.                            |  |  |  |  |
|                   |                       | 5,849,209          | 12/15/1998 | Kindt-Larsen, et al. |       |              |                               |  |  |  |  |
|                   |                       | 5,851,328          | 12/22/1998 | Kohan                |       |              |                               |  |  |  |  |
| D.H.              |                       | 5,861,934          | 1/19/1999  | Blum, et al          |       |              |                               |  |  |  |  |

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List of Patents and Publications
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ATTY. DOCKET NO: 5040-04203

EXAIMINER: Unknown

APPLICANT: Buazza et al.

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#### U.S. PATENT DOCUMENTS

|                   |             |                    | U.S. PATENT | DOCUMENTS         |       |              | T                             |
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| EXAM.<br>INITIALS | REF.<br>DES | DOCUMENT<br>NUMBER | DATE        | NAME              | CLASS | SUB<br>CLASS | FILING DATE IF<br>APPROPRIATE |
| D.H.              |             | 5,880,171          | 3/1999      | Lim et al.        |       |              |                               |
|                   |             | 5,903,105          | 5/11/1999   | Lee et al.        |       |              |                               |
|                   |             | 5,910,516          | 6/1999      | Imura et al.      |       |              | ļ                             |
|                   |             | 5,914,074          | 6/22/1999   | Martin, et al.    |       |              |                               |
|                   |             | 5,914,174          | 6/22/1999   | Gupta, et al      |       |              |                               |
|                   |             | 5,928,575          | 7/1999      | Buazza            |       |              |                               |
|                   |             | 5,949,518          | 9/7/1999    | Belmares et al.   |       |              |                               |
|                   |             | 5,976,423          | 11/1999     | Buazza            |       |              |                               |
|                   |             | 5,989,462          | 11/23/1999  | Buazza, et al.    |       |              |                               |
|                   |             | 6,022,498          | 2/2000      | Buazza et al.     |       |              |                               |
|                   |             | 6,042,754          | 3/28/2000   | Yang, et al.      |       |              |                               |
|                   |             | 6,082,987          | 7/4/2000    | Su et al.         |       |              |                               |
|                   |             | 6,086,799          | 7/11/2000   | Buazza et al.     |       |              |                               |
|                   |             | 6,099,283          | 8/8/2000    | Soane et al.      |       |              |                               |
|                   |             | 6,102,543          | 8/15/2000   | Melzig            |       |              |                               |
|                   |             | 6,105,925          | 8/22/2000   | Lossman et al.    |       |              |                               |
|                   |             | 6,171,528          | 1/9/2001    | Buazza et al.     |       |              |                               |
|                   |             | 6,174,155          | 1/16/2001   | Buazza et al.     |       |              |                               |
|                   |             | 6,174,465          | 1/16/2001   | Buazza et al.     | -     |              |                               |
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ATTY. DOCKET NO: 5040-04203

**NAME** 

EXAIMINER: Unknown

APPLICANT: Buazza et al.

SERIAL NO: 09/780,076

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FILING DATE: March 19, 1999

GROUP: 1711

**CLASS** 

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APPLICANT: Buazza et al.

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\* REFERENCE IS NOT CONSIDÉRED BELAUSE THÈLE 15 NO EXPLANATION DE RELEVANCE DE THIS
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